B 210A (Form 210A) (12/09)

United States Bankruptcy Court Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. <u>08-13555 (JMP)</u> (Jointly Administered)

PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of the claim referenced in this evidence and notice.

CVI CVF II LUX MASTER S.A.RL.	CITIGROUP FINANCIAL PRODUCTS INC.
Name of Transferee	Name of Transferor
Name and Address where notices to transferee should be sent:	Court Claim # (if known): 15921 Total Amount of Claim Filed: \$387,461,036.40 Amount of Claim Transferred: \$2,400,000.00 Allowed Amount of Claim Transferred: \$2,400,000.00 Date Claim Filed: 9/17/09 Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information p best of my knowledge and belief.	rovided in this notice is true and correct to the
CVI CVF II LUX MASTER S.A.RL.	•
By: Carval Investors, LLC	
Its Attorney-in Fact	
By:	Date:
Name of Transferee/Transferee's Agent	
Penalty for making a false statement: Fine of up to \$500,000 or imprison	nment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.
Acknowledged and Agreed:	
CITIGROUP FINANCIAL PRODUCTS INC.	
By: Name of Transferor/Transferor's Agent	Date: 8/1/3

Brian S. BroylesAuthorized Signatory

best of my knowledge and belief.	provided in this notice is true and correct to the
CVI CVF II LUX MASTER S.A.RL.	
By: Carval Investors, LLC	,
Its Attorney-in Fact	1,/,
By:	Date: 8/6//3
Name of Transferee/Transferee's Agent	
Penalty for making a false statement: Fine of up to \$500,000 or impri	sonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.
Acknowledged and Agreed:	
CITIGROUP FINANCIAL PRODUCTS INC.	·
Ву:	
Name of Transferor/Transferor's Agent	Date:

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "<u>Debtor</u>")

Case No. 08-13555 (JMP) (Jointly Administered) (the "<u>Case</u>")

Proof of Claim Number 15921

CITIGROUP FINANCIAL PRODUCTS INC. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

CVI CVF II LUX MASTER S.A.RL.

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 15921, solely to the extent of \$2,400,000.00 (the "<u>Claim</u>") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 9, 2013.

CVI CVF II LUX MASTER S.A.R.L.
By: Carval Investors, LLC
Its Attorney-in Fact
By:
Name: Ryan Money Title: Authorized Sypret

TO: Unite

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Special Financing Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 15921

CITIGROUP FINANCIAL PRODUCTS INC. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

CVI CVF II LUX MASTER S.A.RL.

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 15921, solely to the extent of \$2,400,000.00 (the "<u>Claim</u>") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

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IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 9 2013.

CITIGROUP FINANCIAL PRODUCTS INC.

CVI CVF II LUX MASTER S.A.RL.

By: Carval Investors, LLC Its Attorney-in Fact

Title:

Brian S. Broyles Authorized Signatory υу.,

Name; Title: B 210A (Form 210A) (12/09)

United States Bankruptcy Court Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. <u>08-13555 (JMP)</u> (Jointly Administered)

PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of the claim referenced in this evidence and notice.

CVI CVF II LUX MASTER S.A.RL.	CITIGROUP FINANCIAL PRODUCTS INC.
Name of Transferee	Name of Transferor
Name and Address where notices to transferee should be sent:	Court Claim # (if known): 15922 Total Amount of Claim Filed: \$387,461,036.40 Amount of Claim Transferred: \$2,400,000.00 Allowed Amount of Claim Transferred: \$2,400,000.00 Date Claim Filed: 9/17/09 Debtor: Lehman Brothers Holdings Inc.

I declare under penalty of perjury that the information best of my knowledge and belief.	provided in this notice is true and correct to the
CVI CVF II LUX MASTER S.A.RL. By: Carval Investors, LLC Its Attorney-in Fact	
By: Name of Transferee/Transferee's Agent	Date: August 9, 2013
Penalty for making a false statement: Fine of up to \$500,000 or impris	sonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.
Acknowledged and Agreed:	
CITIGROUP FINANCIAL PRODUCTS INC.	
By: Name of Transferor/Transferor's Agent	Date:

08-13555-mg Doc 39314 Filed 08/09/13 Entered 08/09/13 16:50:34 Main Document Pg 8 of 10

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.		
CVI CVF II LUX MASTER S.A.RL.		
By: Carval Investors, LLC		
Its Attorney-in Fact		
Ву:	Date:	
Name of Transferee/Transferee's Agent		
Penalty for making a false statement: Fine of up to \$500,000 or impriso	onment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.	
Acknowledged and Agreed:		
CITIGROUP FINANCIAL PRODUCTS INC.		
By: Name of Transferor/Transferor's Agent Brian S. Broyles Authorized Signatory	Date: 8/1/3	

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 15922

CITIGROUP FINANCIAL PRODUCTS INC. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

CVI CVF II LUX MASTER S.A.RL.

CITIGROUP FINANCIAL PRODUCTS INC.

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 15922, solely to the extent of \$2,400,000.00 (the "<u>Claim</u>") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated <u>August</u> 9, 2013.

CVI CVF II LUX MASTER S.A.RL.

		By: Carval Investors, LLC Its Attorney-in Fact
Ву:		By:
	Name: Title:	Name: Type Many Synth

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")
Attn: Clerk

Attii: Ciei

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 15922

CITIGROUP FINANCIAL PRODUCTS INC. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

CVI CVF II LUX MASTER S.A.RL.

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 15922, solely to the extent of \$2,400,000.00 (the "<u>Claim</u>") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

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CITIGROUP FINANCIAL PRODUCTS INC.

CVI CVF II LUX MASTER S.A.RL.

By: Carval Investors, LLC Its Attorney-in Fact

Name: Title:

Name:

Title:

Brian S. Broyles Authorized Signatory